

JCO6 Rec'd PCT/PTO 22 AUG 2005

Practitioner's Docket No. 117040.00086

CHAPTER II

IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/EP03/07183	04 July 2003 (4.07.03)	05 July 2002 (5.07.02)
International Application No.	International Filing Date	Priority Date Claimed

MICROSTRUCTURING OF AN OPTICAL WAVEGUIDE FOR PRODUCING FUNCTIONAL OPTICAL ELEMENTS

Title of Invention

Ashkenasi, David; Rosenfeld, Arkadi; Knappe, Verena; and Müller, Gerhard  
Applicant(s)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTENTION: EO/US

10/520336

COMPLETION OF FILING REQUIREMENTS  
FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE  
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

A copy of FORM PCT/DO/EO/905 accompanies this response.

EXPRESS MAILING UNDER 37 C.F.R. § 1.10\*

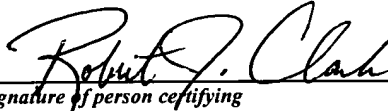
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I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date August 22, 2005 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EV687179258US.

Robert J. Clark

Type or print name of person mailing paper

Date: August 22, 2005

  
Signature of person certifying

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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

EV687179258US

## DECLARATION OR OATH

- I. No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## PRELIMINARY AMENDMENT

- II. A preliminary amendment in accordance with 37 C.F.R. § 1.121 is attached.

The attached amendment cancels claim 19 inclusive.

## TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS

- III. Submitted herewith is an English translation of the non-English language international application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO. See 37 C.F.R. section 1.495(c).

## DRAWINGS

- IV. Replacement formal drawings for this application are enclosed. Number of Sheets: 2

## FEES

### V.

1. Fees for claims

Each independent claim in excess of 3 (37 C.F.R. § 1.492(b)) \$0.00

Each claim in excess of 20 (37 C.F.R. § 1.492(c)) \$325.00

3. Surcharge fees

Surcharge for filing the oath or declaration later than thirty months from the priority date pursuant to § 1.495(c): \$65.00

4. For filing an English translation of an international application later than thirty months after the priority date (§ 1.495(c)) \$130.00

**Total Fees \$520.00**

## SMALL ENTITY STATUS

- VI. An assertion that this filing is by a small entity was made by paying the basic national fee as a small entity.

08/26/2005 ATRAN1 00000048 10520336

01 FC:2617	65.00 OP
02 FC:2615	325.00 OP
03 FC:1618	130.00 OP

## EXTENSION OF TIME

- VII.** The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

- VIII.** The total fee due is:

Completion fee(s) \$520.00

**TOTAL FEE DUE \$520.00**

## PAYMENT OF FEES

- IX.**

Attached is a check in the amount of \$520.00.

Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 15-0450.

Date: August 22, 2005

Reg. No.: 45,835  
Tel. No.: 330-864-5550  
Customer No.: 021324



Signature of Practitioner  
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JUN 23 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/520,336	David Ashkenasi	117040.00086

INTERNATIONAL APPLICATION NO.
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PCT/EP03/07183

I.A. FILING DATE	PRIORITY DATE
07/04/2003	07/05/2002

Robert J. Clark  
 Hahn Loeser & Parks LLP  
 One GOJO Plaza  
 Suite 300  
 Akron, OH 44311-1076

CONFIRMATION NO. 2589

371 FORMALITIES LETTER



\*OC000000016148195\*

Date Mailed: 06/21/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/05/2005
- Copy of the International Search Report filed on 01/05/2005
- Request for Immediate Examination filed on 01/05/2005
- U.S. Basic National Fees filed on 01/05/2005
- Priority Documents filed on 01/05/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$195 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/520,336	PCT/EP03/07183	117040.00086

FORM PCT/DO/EO/905 (371 Formalities Notice)